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| TO: | | |
| COMMISSIONER OF PATENTS AND TRADEMARKS (USPTO) P.O. Box 1450 Alexandria, VA 22313-1450 | | REPORT ON THE FILING OF DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK |

In compliance with 35 U.S.C. 290 and/or 15 U.S.C. 1116 you are hereby advised
that a court action has been filed on the following patent(s)/trademark(s) in the U.S. District Court:

| DOCKET NO. 10-cv-883 | DATE FILED 2/9/2010 | UNITED STATES DISTRICT COURT, NORTHERN DISTRICT OF ILLINOIS, EASTERN DIVISION |
|---|---|--|
| PLAINTIFF: Trading Technologies International, Inc. | DEFENDANTS: thinkorswim Group, Inc., et al. | |
| TRADEMARK NUMBER | DATE OF TRADEMARK | HOLDER OF PATENT OR TRADEMARK |
| 6,766,304 | 7/20/04 | Trading Technologies International, Inc. |
| 6,772,132 | 8/3/04 | Trading Technologies International, Inc. |
| 7,212,999 | 5/1/07 | Trading Technologies International, Inc. |
| 7,533,056 | 5/12/09 | Trading Technologies International, Inc. |

In the above-entitled case, the following trademarks(s) have been included:

| DATE INCLUDED | INCLUDED BY [] Amendment [] Answer [] Cross Bill [] Other Pleading | | | |
|------------------|---|----------------------------------|--|--|
| TRADEMARK NUMBER | DATE OF TRADEMARK | HOLDER OF PATENT OR TRADEMARK | | |
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In the above-entitled case, the following decision has been rendered or judgment issued:

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| DECISION/JUDGMENT | | |
| CLERK MICHAEL W. DOBBINS | (BY) DEPUTY CLERK: Gwen Rosegay | DATE: February 11, 2010 |